Publ. Math. Debrecen 69/3 (2006), 387–390

## On point modules

By LANCE W. SMALL (San Diego) and EFIM I. ZELMANOV (San Diego)

In memory of Edith Szabó

**Abstract.** We prove that (1) a free associative algebra has a faithful point module; (2) a graded algebra  $A = F1 + A_1 + \ldots$  over a field F, |F| > n, generated by the subspace  $A_1$  and having the subspace  $A_1$  nil of degree  $\leq n$ , does not have point modules. As a corollary we show that the polynomial algebra over the Lie algebra of the Grigorchuk group is not graded nil.

Let  $A = \sum_{i=0}^{\infty} A_i$  be a graded associative algebra over a ground field F;  $\dim_F A_i < \infty$ ;  $A_i A_j \subseteq A_{i+j}$ ;  $i, j \ge 1$ . A graded (right) module  $V = \sum_{i=0}^{\infty} V_i$  is called a *point module* if

(1)  $\dim_F V_i = 1$  for all  $i \ge 0$ ;

(2) V is generated by  $V_0$ .

Point modules naturally appear in the context of noncommutative projective algebraic geometry (see [ATV1], [ATV2]).

In this paper we make the following observations.

**Proposition 1.** A free associative algebra  $F\langle x_1, \ldots, x_m \rangle$  of finite rank has a faithful point module.

Mathematics Subject Classification: 16P90, 16S38, 16W50, 20F40.

Key words and phrases: point module, nil algebra, Grigorchuk group.

The first author partially supported by an NSA grant.

The second author partially supported by the NSF grants DMS-0455 906 and DMS-0500568.

We say that a subspace S of an associative algebra is nil of degree  $\leq n$  if for an arbitrary element  $a \in S$  we have  $a^n = 0$ .

**Proposition 2.** Let  $A = \sum_{i=0}^{\infty} A_i$  be a graded associative algebra generated by  $A_1$ . Suppose that the subspace  $A_1$  of A is nil of degree  $\leq n$  and the ground field F contains more than n elements. Then A does not have graded modules,  $V = \sum_{i=0}^{\infty} V_i$ , such that  $\dim_F V_0 = \ldots = \dim_F V_n = 1$ ,  $V = V_0 A$ . In particular, A does not have point modules.

Proposition 2 has some implications for scalar extensions of the Lie algebra of the GRIGORCHUK group, (see [G]).

PROOF OF THE PROPOSITION 1. Choose an infinite word  $w = x_{i_1}x_{i_2}\dots$  in the alphabet  $X = \{x_1, \dots, x_m\}$  such that every (finite) word occurs as a subword of w. Let R be the right ideal of  $F\langle X \rangle$  which is generated by all words  $x_{j_1}\dots x_{j_k}, u \ge 1$ , such that  $x_{j_1}\dots x_{j_k} \ne x_{i_1}\dots x_{i_k}$ . It is clear, that the right  $F\langle X \rangle$ -module  $V = F\langle X \rangle/R$  in a point module.

Let us show that the module V is faithful. Suppose that  $V(\sum_k \alpha_k w_k) = (0)$ , where  $0 \neq \alpha_k \in F$ , and  $w_k$  are distinct words in X. Without loss of generality, we will assume that all the words  $w_k$  have the same length. By our assumption  $w_1$  is a subword of  $w, w = x_{i_1} \dots x_{i_\ell} w_1 \dots$  Now  $(x_{i_1} \dots x_{i_\ell} + R) (\sum_k \alpha_k w_k) = \alpha_1 (x_{i_1} \dots x_{i_\ell} w_1 + R) \neq 0$ , a contradiction. Proposition 1 is proved.

PROOF OF PROPOSITION 2. Let  $V = \sum_{i=0}^{\infty} V_i$  be a graded module over  $A, 0 \neq v_0 \in V_0, V = v_0 A$ , the subspace  $A_1$  of A is nil of degree  $\leq n$ and  $\dim_F V_0 = \cdots = \dim_F V_n = 1$ .

Choose a basis  $a_1, \ldots, a_m$  in  $A_1$ . For every  $k = 1, \ldots, n$ , let  $i_k = \min\{i \mid 1 \le i \le m, V_k a_i \ne (0)\}$ . Then  $V_n = V_0 a_{i_1} \ldots a_{i_n}$ .

We say that two words  $w_1$ ,  $w_2$  in the alphabet  $\{x_1, \ldots, x_m\}$  have the same composition if each letter  $x_i$  occurs the same number of times in  $w_1$  and  $w_2$ .

If a word  $x_{j_1} \ldots x_{j_n}$  has the same composition as  $x_{i_1} \ldots x_{i_n}$ , but  $x_{j_1} \ldots x_{j_n} \neq x_{i_1} \ldots x_{i_n}$ , then  $v_0 a_{j_1} \ldots a_{j_n} = 0$ . Indeed, there exists  $k, 1 \le k \le n$ , such that  $j_k < i_k$ . Then  $v_0 a_{j_1} \ldots a_{j_k} \subseteq V_{k-1} a_{j_k} = (0)$ , by minimality of  $i_k$ . For arbitrary coefficients  $\alpha_1, \ldots, \alpha_m \in F$  we have

 $(\alpha_1 a_1 + \dots + \alpha_m a_m)^n = 0$ . Since the field F contains more than n elements it follows that every homogeneous (in each  $\alpha_i$ ) component of  $(\alpha_1 a_1 + \dots + \alpha_m a_m)^n$ 

388

## On point modules

 $(\alpha_m a_m)^n$  is equal to zero. Hence for an arbitrary word w in  $x_1, \ldots, x_m$  of length n

$$w(a_1,\ldots,a_n) = -\Sigma v(a_1,\ldots,a_n)$$

where  $\alpha \in F$ ; all words v on the right hand side have the same composition as w but  $v \neq w$ . Applying this to the word  $x_{i_1} \dots x_{i_n}$  we get  $V_0 a_{i_1} \dots a_{i_n} = (0)$ , a contradiction. Proposition 2 is proved.

In [G] R. I. GRIGORCHUK constructed a remarkable 2-generated p-group with intermediate word growth. We recall the definition of a Zassenhaus filtration of a group G. Let  $k_p = \mathbb{Z}/p\mathbb{Z}$  and let  $k_pG$  be the group algebra, with the augmentation ideal  $w = \{\sum_i \alpha_i g_i, \alpha_i \in k_p, g_i \in G, \sum \alpha_i = 0\}$ . The filtration  $G_i = \{g \in G \mid 1 - g \in w^i\}, G = G_1 > G_2 > \dots$  is called the Zassenhaus filtration of G.

The direct sum of vector spaces

$$\widetilde{L} = \bigoplus_{i \ge 1} G_i / G_{i+1}$$

is a Lie algebra over the field  $k_p$  via the bracket  $[a_iG_{i+1}, b_jG_{j+1}] = (a_i, b_j)$  $G_{i+j+1}, a_i \in G_i, b_j \in G_j$  and  $(a_i, b_j) = a_i^{-1}b_j^{-1}a_ib_j$ . Let L = L(G) be the Lie subalgebra of  $\widetilde{L}$  generated by  $G_1/G_2$ . Clearly,  $L = L_1 + L_2 + \cdots$  is a graded subalgebra of  $\widetilde{L}$ . In [BG] L. Bartholdi and R. I. GRIGORCHUK showed that for the Lie algebra L = L(G) of the Grigorchuk group G (i) the algebra L is graded nil; that is, for an arbitrary homogeneous element  $a \in L$  the adjoint operator ad(a) is nilpotent; (ii)  $\dim_{k_p} L_i = 1$ , or 2, for all  $i \geq 1$ ; (iii) for an arbitrary  $n \geq 1$  there exists  $m \geq 1$  such that  $\dim_{k_p} L_{m+1} = \cdots = \dim_{k_p} L_{m+n} = 1$ .

It is not known if the associative enveloping algebra  $\langle ad(L) \rangle \subseteq \operatorname{End}_{k_p} L$  is a nil algebra.

## **Corollary 1.** The polynomial algebra L[x, y] is not graded nil.

PROOF. If  $e_1$ ,  $e_2$  is a basis of  $L_1$  then the operator  $ad(xe_1 + ye_2)$ :  $L[x,y] \to L[x,y]$  is not nilpotent. Indeed, suppose that  $L[x,y]ad(xe_1 + ye_2)^n = (0)$ . Let F be a field of characteristic p containing more than n elements. Consider the Lie algebra  $\widetilde{L} = L \otimes_{k_p} F$  and the associative algebra  $A = \langle ad(\widetilde{L}) \rangle \subseteq \operatorname{End}_F \widetilde{L}$  generated by all adjoints. The algebra A is graded and generated by  $A_1 = Fe_1 + Fe_2$ . Moreover, the subspace  $A_1$  of L. W. Small and E. I. Zelmanov : On point modules

A is nil of degree  $\leq n$ . By [BG] there exists  $m \geq 1$  such that  $\dim_{k_p} L_m = \cdots = \dim_{k_p} L_{m+n} = 1$ . Now  $V = \sum_{i=1}^{\infty} V_i$ ,  $V_i = \tilde{L}_{m+i} = L_{m+i} \otimes_{k_p} F$ , is an A-module generated by  $V_0 = \tilde{L}_m$  and  $\dim_F V_0 = \cdots = \dim_F V_n = 1$ , which contradicts Proposition 2.

## References

- [ATV1] M. ARTIN, J. TATE and M. VAN DEN BERGH, Some algebras associated to automorphisms of elliptic curves, The Grothendieck Festschrift, vol. 1, *Birkhäuser*, 1990, 33–85.
- [ATV2] M. ARTIN, J. TATE and M. VAN DEN BERGH, Modules over regular algebras of dimension 3, *Invent. Math.* 106 (1991), 335–388.
- [BG] L. BARTHOLDI and R. I. GRIGORCHUK, Lie methods in growth of groups and groups of finite width, Computational and geometric aspects of modern algebra, (Edinburgh, 1998), 1–27; LMS Lecture Note Ser., 275, Cambridge Univ. Press, 2000.
- [G] R. I. GRIGORCHUK, On the Milnor problem of group growth, Dokl. Akad. Nauk SSSR 271, no. 1 (1983), 30–33.
- [S] A. SMOKTUNOWICZ, Polynomial rings over nil rings need not be nil, J. Algebra 233, no. 2 (2000), 427–436.

LANCE W. SMALL DEPARTMENT OF MATHEMATICS UNIVERSITY OF CALIFORNIA SAN DIEGO USA

EFIM I. ZELMANOV DEPARTMENT OF MATHEMATICS UNIVERSITY OF CALIFORNIA SAN DIEGO USA

*E-mail:* ezelmano@math.ucsd.edu

(Received October 26, 2005; revised February 22, 2006)

390