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# On the 2-groups whose abelianizations are of type (2, 4) and applications

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**Abstract.** Let *G* be a metabelian 2-group satisfying the condition  $G/G' \simeq \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/4\mathbb{Z}$ . In this paper, we give necessary and sufficient conditions for *G* to be metacyclic. We then apply these results to algebraic number fields **k** to study the capitulation of their 2-ideal classes of type (2, 4). Particular examples are given to illustrate how these results can be applied to real quadratic and imaginary biquadratic number fields.

## 1. Introduction

Let G be a group. The *commutator* of two elements x and y in G is the element  $[x, y] = x^{-1}y^{-1}xy = x^{-1}x^y$ , where  $x^y = y^{-1}xy$ . The following two properties are easily checked, for all x, y and  $z \in G$ :

$$[xy, z] = [x, z]^{y}[y, z].$$
(1)

$$[x, yz] = [x, z][x, y]^z.$$
(2)

Let X and Y be two subsets of G, we denote by [X, Y] the subgroup of G generated by the commutators [x, y], where  $x \in X$  and  $y \in Y$ . Let G' = [G, G] denote the *derived group* of G, that is the subgroup of G generated by the commutators, and let  $\gamma_i(G)$  be the i-th term of the lower central series of G defined inductively by  $\gamma_1(G) = G$  and  $\gamma_{i+1}(G) = [\gamma_i(G), G]$ . The group G is said to be *nilpotent* if there exists a positive integer c such that  $\gamma_{c+1}(G) = 1$ ; the smallest integer c

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satisfying this equality is called the nilpotency class of G. We call exponent of an abelian group the greatest order of its elements. Recall that a group G is said to be metabelian if its derived group G' is abelian and is said to be metacyclic if there exists a normal cyclic subgroup H such that the quotient group G/H is cyclic. Denote by d(G) the rank of G i.e. the smallest cardinality of a generating set for G. Finally, a maximal subgroup H of a group G is a proper subgroup, such that no proper subgroup K contains H strictly.

Let G be a metabelian 2-group satisfying the condition  $G/G' \simeq \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/4\mathbb{Z}$ . In this paper, we give necessary and sufficient conditions for G to be metacyclic or not. We then apply these new results to study the capitulation problem of the 2-class groups of type (2, 4). The structure of this paper is the following. In § 2, we summarize preliminary results on p-groups with abelianizations are of type  $(p^n, p^m)$ , where p is a prime and n, m are positive integers. In § 3, the concept of the maximal subgroup of a group G, satisfying the condition  $G/G' \simeq (2, 4)$ , plays an essential role in proving the main results, and thus to determine the structure of G and in which cases is or not metacyclic. In § 4, we study the capitulation of the 2-ideal classes of a number field **k** in its unramified quadratic and biquadratic extensions according to the structure of the Galois group  $G = Gal(\mathbf{k}_2^{(2)}/\mathbf{k})$  of the second Hilbert 2-class field  $\mathbf{k}_2^{(2)}$  of **k**, where **k** is a number field whose 2-class group is of type (2, 4). In § 5, we illustrate some of our results by two examples: the first one is about a real quadratic number field whereas the second is about an imaginary bicyclic biquadratic number field.

Theorems 7 and 9 (below) imply the main result of this paper.

**Theorem.** Let G be a 2-group such that G/G' has type (2,4), and let M be the maximal subgroup of G such that M/G' is of type (2,2). Then M/M' is of type (2,2,2) or (2,2<sup>m</sup>). More precisely, the following assertions are equivalent:

- 1. G is metacyclic,
- 2. M/M' is of type  $(2, 2^m)$ , with  $m \ge 1$ ,
- 3. d(M) = 2.

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## 2. Preliminaries

Recall first the following definitions. The *Frattini subgroup*,  $\Phi(G)$ , of a group G is the intersection of all its maximal subgroups, and it is known that if G is a 2-group, then  $\Phi(G) = G^2$  (see [6]). A modular group,  $M_{2^n}$ , is a group of order

 $2^n$ , where n > 3, with the following presentation:

$$\langle a, b : a^{2^{n-1}} = b^2 = 1, [a, b] = a^{2^{n-2}} \rangle.$$

The group  $M_{2^n}$  is metacyclic and  $\gamma_2(M_{2^n}) = \langle a^{2^{n-2}} \rangle$  is of order 2; thus  $M_{2^n}/\gamma_2(M_{2^n}) \simeq (2, 2^{n-2})$ .

Let G be a 2-group satisfying  $\gamma_1(G)/\gamma_2(G) \simeq (2,4)$  and  $|G| = 2^n$ , with  $n \ge 1$ , then G admits three subgroups of index 4 denote them by  $N_i$ ,  $i \in \{1, 2, 3\}$ , and three subgroups of index 2 denote them by H, M and K. These subgroups are visualized in Figure 1.



Figure 1. Subgroups of G/G'

In what follows, we will prove some new results for such group G. But first recall some results for the case where G' is cyclic not trivial. Let c be the nilpotency class of G, so

$$|G| = [G:G'] \prod_{i=2}^{c} [\gamma_i(G):\gamma_{i+1}(G)].$$
(3)

Thus Theorem 1 (see below) yields that  $[\gamma_i(G) : \gamma_{i+1}(G)] = 2$ , for  $2 \leq i \leq c$ , this in turn implies that c = n - 2 (the group G is said, in this case, a group of almost maximal class). On the other hand, C. BAGINSKI and A. KONOVALOV have shown, in [7], some results which gave the complete list of these groups according to their generators and to certain relations. Among them, we find a

theorem which describe all groups G that are metacyclic. The number of the metacyclic groups G of order  $2^n$ , where  $n \ge 5$  and  $G/G' \simeq (2,4)$  is equal to:

$$\begin{cases} 3, & \text{if } n = 5; \\ 4, & \text{if } n > 5. \end{cases}$$

These groups have the following representation:

$$G_m = \langle a, b : a^{2^{n-2}} = 1, b^4 = z_1, a^b = a^{-1} z_2 \rangle,$$

where  $1 \le m \le 4$  and the values of  $z_1$ ,  $z_2$  are given by the Table 1. (for  $G_4$ , we have n > 5).

	$G_1$	$G_2$	$G_3$	$G_4$
$z_1$	1	1	$a^{2^{n-3}}$	1
$z_2$	1	$a^{2^{n-3}}$	1	$a^{2^{n-4}}$

Table 1. The  $z_i$  values, i = 1, 2.

For the proof of this result see [25, Theorem 5.3, p. 352]. Finally, recall that if G is a metacyclic 2-group of order 16 such that  $G/G' \simeq (2, 4)$ , then G is equal to

$$M_{16} = \langle a, b : a^8 = b^2 = 1, a^b = a^5 \rangle \text{ or } G_1 = \langle a, b : a^4 = b^4 = 1, a^b = a^{-1} \rangle.$$

We continue with some results on the *p*-groups whose abelianizations are of type  $(p^n, p^m)$ , where *n* and *m* are positive integers and *p* is a prime. These groups are generated by two elements *a* and *b* such that  $a^{p^n} \equiv b^{p^m} \equiv 1 \mod \gamma_2(G)$  (Burnside Basis Theorem).

**Theorem 1** ([20]). Let G be a p-group. If  $G/G' \simeq (p^n, p^m)$ , with  $n \leq m$ , then

- 1.  $\gamma_2(G)/\gamma_3(G)$  is cyclic of order less than or equal to  $p^n$ ;
- 2. The exponent of  $\gamma_{i+1}(G)/\gamma_{i+2}(G)$  divides that of  $\gamma_i(G)/\gamma_{i+1}(G)$ .

The following results are due to BLACKBURN [21, p. 334 and 335].

**Theorem 2.** A p-group G is metacyclic if and only if  $G/\Phi(G')\gamma_3(G)$  is metacyclic.

**Lemma 3.** If G is a nonabelian p-group generated by two elements, then  $\Phi(G')\gamma_3(G)$  is the unique maximal subgroup of G' normal in G.

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**Lemma 4.** If G is a nonmetacyclic p-group such that G/G' is of type  $(p^n, p^m)$ , then G is generated by two elements a, b, and  $G/\Phi(G')\gamma_3(G)$  is also generated by a and b modulo  $\Phi(G')\gamma_3(G)$  such that

$$[a,b] = c, a^{p^n} \equiv b^{p^m} \equiv c^p \equiv [a,c] \equiv [b,c] \equiv 1 \mod \Phi(G')\gamma_3(G)$$

**Corollary 1.** Let G be a 2-group such that G/G' is of type (2,4). Then

- 1. G is generated by two elements a and b satisfying  $a^2 \equiv b^4 \equiv 1 \mod \gamma_2(G)$ ,
- 2.  $\Phi(G')\gamma_3(G) = \gamma_3(G),$
- 3. G is metacyclic if and only if  $G/\gamma_3(G)$  is metacyclic,
- 4. If G is nonmetacyclic, then  $a^2 \equiv b^4 \equiv c^2 \equiv [a, c] \equiv [b, c] \equiv 1 \mod \gamma_3(G)$ , where c = [a, b].

**PROOF.** 1. The first assertion is an immediate consequence of the Burnside Basis Theorem.

2. The result is obvious if G is abelian. Assume G is not abelian, then Theorem 1 implies that  $[G': \gamma_3(G)] = 2$ , hence  $\gamma_3(G)$  is the maximal subgroup of G' normal in G; thus Lemma 3 yields that  $\Phi(G')\gamma_3(G) = \gamma_3(G)$ .

3. and 4. are obvious.

E. BENJAMIN and C. SNYDER showed, in [8], a lemma that gives information about the structure of a 2-group G, satisfying the condition G/G' is of type  $(2, 2^m)$ , where m > 1. Put  $G^{(2,2)} = \Phi(G)^2[G, \Phi(G)]$ , then the lemma is as follows:

**Lemma 5.** Let G be a 2-group such that G/G' is of type  $(2, 2^m)$ , where m > 1. Then

- i) If G is abelian, then  $G/G^{(2,2)}$  is of type (2,4),
- ii) If G is modular, then  $G/G^{(2,2)}$  is of type (2,4),
- iii) If G is metacyclic-nonmodular, then  $G/G^{(2,2)}$  is the unique metacyclic-nonmodular group of order 16 whose abelianization is of type (2,4),
- iv) If G is nonmetacyclic, then  $G/G^{(2,2)}$  is the unique nonmetacyclic group of order 16 whose abelianization is of type (2,4).

If G is a 2-group such that G/G' is of type (2,4), then Lemma 5 is almost the same as the Corollary 1. The following remark shows this.

Remark 1. Let G be a 2-group such that G/G' is of type (2, 4), then  $G^{(2,2)} = \gamma_3(G)$ .

PROOF. Let  $G = \langle x, y \rangle$  such that  $x^2 \equiv y^4 \equiv 1 \mod \gamma_2(G)$ . Note that  $G^{(2,2)} = (G^2)^2[G, G^2]$ . According to [19, Corollary 2.3, p. 104] we have  $G^{(2,2)} = G^4 \gamma_2^2(G) \gamma_3(G)$ , as  $\gamma_2(G)/\gamma_3(G)$  is of order 2, so  $\gamma_2^2(G) \subseteq \gamma_3(G)$ , thus  $G^{(2,2)} = G^4 \gamma_3(G)$ .

If G is a metacyclic metabelian group of order 16, then  $G^4 = 1$ , thus the remark is obvious. Assume G is metacyclic of order > 16. One can easily show that  $\gamma_2(G) = \langle x^2 \rangle$  (see Lemma 8 below), hence we get  $\gamma_3(G) = \langle x^4 \rangle$ , which implies that  $\gamma_3(G) \subseteq G^4$  and  $G^{(2,2)} = G^4$ . Moreover, as  $\gamma_2(G)/\gamma_3(G)$  is of order 2 and  $\gamma_3(G) \subseteq G^{(2,2)} \subseteq \gamma_2(G)$ , so  $G^{(2,2)} = \gamma_2(G)$  or  $G^{(2,2)} = \gamma_3(G)$ . The first case can not occur, for if  $G^{(2,2)} = \gamma_2(G)$ , then  $G^4 = \gamma_2(G)$ . Which is absurd, since  $x^4 \in G^4$  and  $x^4$  does not generate  $\gamma_2(G)$ .

If G is not metacyclic, then  $x^2 \equiv y^4 \equiv 1 \mod \gamma_3(G)$  (Corollary 1). Thus  $G^4 \subseteq \gamma_3(G)$ , and hence  $G^{(2,2)} = \gamma_3(G)$ .

#### 3. Proof of the main result

The idea of these results is a consequence of the study that we have made for a particular case, where G is the Galois group of some number field extension (see [2]). To generalize these observations to any 2-group, we have based on the works of E. BENJAMIN, F. LEMMERMEYER and C. SNYDER, in particular the article [11].

Keep the notations used in [9, Lemma 1]. Let  $G = \langle a, b \rangle$  be a metabeliannonmetacyclic 2-group such that  $a^2 \equiv b^4 \equiv 1 \mod \gamma_2(G)$ . The terms  $c_i$  are defined as follows:  $[a, b] = c = c_2$  and  $c_{j+1} = [b, c_j]$ . Thus  $G' = \langle c_2, c_3, \ldots \rangle$ ,  $\gamma_3(G) = \langle c_2^2, c_3, \ldots \rangle$  and  $\gamma_4(G) = \langle c_2^4, c_3^2, c_4, \ldots \rangle$  (see [9, Lemma 2]). As M is the maximal subgroup of G satisfying  $M/G' \simeq (2, 2)$ , so  $M = \langle a, b^2, G' \rangle$ . By a simple calculation based on [9, Lemma 2], we check that  $M'\gamma_4(G) = \gamma_3(G)$ . On the other hand, from [23, Theorem 2.49ii], we get  $M' = \gamma_3(G)$ . This allows us to cite the following lemma:

**Lemma 6.** Let G be a metabelian-nonmetacyclic 2-group, satisfying G/G' is of type (2, 4), and let M be the maximal subgroup of G such that M/G' is of type (2, 2). Then  $M' = \gamma_3(G)$ .

**Corollary 2.** Let G be a nonmetacyclic 2-group of order 16 satisfying the condition G/G' is of type (2,4), and let M be the maximal subgroup of G such that M/G' is of type (2,2). Then M/M' is of type (2,2,2).

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PROOF. As G is the unique nonmetacyclic 2-group of order 16, satisfying G/G' is of type (2, 4), and as M is the maximal subgroup of G such that M/G' is of type (2, 2). So

$$G = \langle a, b : c = [a, b], a^2 = b^4 = c^2 = 1, [a, c] = [b, c] = 1 \rangle.$$

Since  $G' = \langle c \rangle$ , then G is metabelian and

$$M = \langle a, b^2, c \rangle$$
 and  $M' = \langle [a, c], [a, b^2], [b^2, c] \rangle$ .

The Properties (1) and (2) yield that

$$[a, b^{2}] = [a, b] \cdot [a, b]^{b} = c \cdot c^{b} = c^{2}[c, b] = 1$$
$$[b^{2}, c] = [b, c]^{b} \cdot [b, c] = 1.$$

Hence M is an abelian group of order 8; but since  $a^2 = b^4 = c^2 = 1$ , so M/M' is of type (2, 2, 2).

The following theorem generalizes this result to any nonmetacyclic 2-group.

**Theorem 7.** Let G be a metabelian 2-group such that G/G' has type (2, 4), and let M be the maximal subgroup of G such that M/G' is of type (2, 2). Then the following assertions are equivalent:

- 1. G is nonmetacyclic,
- 2. M/M' is of type (2, 2, 2),
- 3. d(M) = 3.

PROOF. According to our hypotheses, we have  $G = \langle a, b \rangle$  with  $a^2 \equiv b^4 \equiv 1 \mod \gamma_2(G)$ . Put  $M = \langle a, b^2, \gamma_2(G) \rangle$ , then by Schreier inequality we get  $d(M) - 1 \leq [G : M](d(G) - 1)$ . Thus  $d(M) \in \{1, 2, 3\}$ ; but M can not be cyclic, since M admits three maximal subgroups  $(N_1, N_2 \text{ and } N_3 \text{ see Figure 1})$ . From this, we conclude that  $d(M) \in \{2, 3\}$ .

1.  $\Longrightarrow$  2. Assume that G is not metacyclic, then Lemma 6 implies that  $M' = \gamma_3(G)$ . As G/G' is of type (2,4), so by Theorem 1 we get  $\gamma_2(G)/\gamma_3(G)$  is of order 2. Therefore Corollary 1 yields that  $a^2 \equiv b^4 \equiv c^2 \equiv 1 \mod \gamma_3(G)$ , with [a,b] = c. This shows that the exponent of M/M' is 2. Finally, as  $[M:M'] = [M:\gamma_3(G)] = [M:\gamma_2(G)] \cdot [\gamma_2(G):\gamma_3(G)] = 4 \cdot 2 = 8$ , so M/M' is of type (2,2,2).

 $2.\Longrightarrow 3.$  This implication is guaranteed by Burnside Basis Theorem.

3.  $\Longrightarrow$  1. If d(M) = 3, then G can not be metacyclic, for if G is metacyclic, then any subgroup M of a metacyclic p-group will satisfy  $d(M) \le 2$ . Which is a contradiction.

Keep the previous notations. In the metacyclic case, we have the following lemma:

**Lemma 8.** Let G be a metacyclic 2-groupe of order  $2^n$ , where  $n \ge 4$  and G/G' is of type (2,4), and let M be the maximal subgroup of G satisfying the condition M/G' is of type (2,2). Then M' is of order  $\le 2$ . Moreover

$$M' = \begin{cases} 1, & \text{if } G = G_1, G_2, G_3 \text{ or } M_{16}; \\ \langle a^{2^{n-3}} \rangle, & \text{if } G = G_4. \end{cases}$$

PROOF. There are two cases to distinguish:

1- Assume  $n \ge 4$  and G not modular, then  $G = G_m = \langle a, b : a^{2^{n-2}} = 1, b^4 = z_1, a^b = a^{-1}z_2 \rangle$ , where  $1 \le m \le 4$  and the values of  $z_1, z_2$  are given by the Table 1  $(n = 4 \text{ only for } G = G_1, \text{ and for } G_4 \text{ we have } n > 5)$ . As G is a metacyclic group, then G' is cyclic, which implies that  $G' = \langle [a, b] \rangle$ . Let us compute [a, b]:

$$[a,b] = a^{-1}a^{b} = a^{-2}z_{2} = \begin{cases} a^{-2}, & \text{if } G = G_{1} \text{ or } G_{3}; \\ a^{-2+2^{n-3}}, & \text{if } G = G_{2}; \\ a^{-2+2^{n-4}}, & \text{if } G = G_{4}. \end{cases}$$
$$= \begin{cases} a^{-2}, & \text{if } G = G_{1} \text{ or } G_{3}; \\ a^{-2(1-2^{n-4})}, & \text{if } G = G_{2}; \\ a^{-2(1-2^{n-5})}, & \text{if } G = G_{4}. \end{cases}$$
(4)

If  $G = G_2$  and  $n \ge 5$ , then  $1 - 2^{n-4}$  is odd. If  $G = G_4$  and  $n \ge 6$ , then  $1 - 2^{n-5}$  is odd. Therefore we deduce that:

$$G' = \langle [a, b] \rangle = \langle a^{-2} \rangle = \langle a^2 \rangle.$$

As  $a^2 \equiv b^4 \equiv 1 \mod \gamma_2(G)$ , so  $M = \langle a, b^2 \rangle$  and  $M' = \langle [a, b^2] \rangle$ . The Property (2) yields that  $[a, b^2] = [a, b][a, b]^b$ . Compute  $[a, b]^b$ :

$$[a,b]^{b} = \begin{cases} (a^{-2})^{b}, & \text{if } G = G_{1} \text{ or } G_{3}; \\ (a^{-2+2^{n-3}})^{b}, & \text{if } G = G_{2}; \\ (a^{-2+2^{n-4}})^{b}, & \text{if } G = G_{4}. \end{cases}$$
$$= \begin{cases} a^{2}, & \text{if } G = G_{1} \text{ or } G_{3}; \\ a^{(-1+2^{n-3})(-2-2^{n-3})}, & \text{if } G = G_{2}; \\ a^{(-1+2^{n-4})(-2-2^{n-4})}, & \text{if } G = G_{4}. \end{cases}$$

$$= \begin{cases} a^2, & \text{if } G = G_1 \text{ or } G_3; \\ a^{2-2^{n-3}-2^{n-2}+2^{2n-6}}, & \text{if } G = G_2; \\ a^{2-2^{n-4}-2^{n-3}+2^{2n-8}}, & \text{if } G = G_4. \end{cases}$$

The Equalities (4) imply that

$$[a,b^{2}] = \begin{cases} 1, & \text{if } G = G_{1} \text{ or } G_{3}; \\ a^{-2^{n-2}+2^{2n-6}}, & \text{if } G = G_{2}; \\ a^{-2^{n-3}+2^{2n-8}}, & \text{if } G = G_{4}. \end{cases}$$
$$= \begin{cases} 1, & \text{if } G = G_{1} \text{ or } G_{3}; \\ a^{2^{n-2}(-1+2^{n-4})}, & \text{if } G = G_{2}; \\ a^{2^{n-3}(-1+2^{n-5})}, & \text{if } G = G_{4}. \end{cases}$$

Since  $a^{2^{n-2}} = 1$ , then M' is of order  $\leq 2$ . Moreover,

$$M' = \begin{cases} 1, & \text{if } G = G_1, G_2 \text{ or } G_3; \\ \langle a^{2^{n-3}} \rangle, & \text{if } G = G_4. \end{cases}$$

2- Assume now G modular, then  $G = M_{16} = \langle a, b : b^2 = a^8 = 1, a^b = a^5 \rangle$ . It is easy to show that  $[a, b] = a^4$  and  $[a, b]^a = a^4$ , hence  $M' = \langle [a^2, b] \rangle = \langle a^8 \rangle = 1$ . This completes the proof.

**Theorem 9.** Let G be a nonabelian group satisfying the condition G/G' is of type (2,4), and let M be the maximal subgroup of G such that M/G' is of type (2,2). Then the following assertions are equivalent:

- 1. G is metacyclic,
- 2. M/M' is of type  $(2, 2^m)$ , with  $m \ge 2$ ,
- 3. d(M) = 2.

PROOF. 1.  $\Longrightarrow$  2. Suppose G is a metacyclic-nonmodular 2-group of order  $2^n$ . If  $n \ge 4$  and  $G = G_1, G_2$  or  $G_3$ , then, as  $M = \langle x, y^2 \rangle$ , Lemma 8 yields that M/M' is of type  $(2, 2^{n-2})$ . If  $n \ge 6$  and  $G = G_4$ , then M/M' is of type  $(2, 2^{n-3})$ . If G is a modular 2-group, then  $G = \langle x, y : x^2 = y^8 = 1, y^x = y^5 \rangle$ . In Lemma 8, we reported that  $M = \langle x, y^2 \rangle$  is an abelian group, this implies that M/M' is of type  $(2, 2^2)$ .

 $2. \Longrightarrow 3$ . This implication holds according to Burnside Basis Theorem.

 $<sup>3. \</sup>Longrightarrow 1.$  See Theorem 7.

From Theorems 7 and 9 we get:

– If the order of M/M' is > 8, then G is a metacyclic 2-group.

– If the order of M/M' is equal to 8, then G is a 2-group

modular or isomorphic to $G_1$ ,	if $M/M'$ is of type $(2, 4)$ ,
nonmetacyclic,	if $M/M'$ is of type $(2, 2, 2)$ .

This allows us to cite the two following corollaries

**Corollary 3.** Let G be a 2-group satisfying the condition G/G' is of type (2, 4), and let M be the maximal subgroup of G such that M/G' is of type (2, 2) and M/M' is of type (2, 4). Then G is a modular group or

$$G = G_1 = \langle x, y : x^4 = y^4 = 1, y^x = y^{-1} \rangle.$$

**Corollary 4.** Let G be a 2-group satisfying G/G' is of type (2, 4), and let M be the maximal subgroup of G such that M/G' is of type (2, 2) and M/M' is of order > 8. Then G is metacyclic-nonabelian-nonmodular.

**Theorem 10.** Let G be a 2-group satisfying G/G' is of type (2,4), and let M (resp. H and K) be the maximal subgroup of G such that M/G' is of type (2,2) (resp. H/G' and K/G' are cyclic of order 4). Then the following assertions are equivalent:

- 1. G is abelian,
- 2. M/M' is of type (2, 2),
- 3. *H* is cyclic of order 4,
- 4. K is cyclic of order 4.

PROOF. 1.  $\iff$  2. Theorems 7 and 9.

 $1 \implies 3$ . and 4. obvious.

 $3 \Longrightarrow 1.$  (resp.  $4 \Longrightarrow 1.$ ) as [H : G'] = 4 (resp. [K : G'] = 4) and the order of H (resp. K) is 4, then G' = 1, thus G is abelian.

## 4. Application: capitulation of the 2-ideal classes of type (2, 4)

Throughout all this section, **k** denotes a number field whose 2-class group is of type (2, 4). Let  $C_{\mathbf{k},2}$  denote the 2-class group of **k**, that is the 2-Sylow subgroup of the ideal class group  $C_{\mathbf{k}}$  of **k**, in the wide sens. Let  $\mathbf{k}_{2}^{(1)}$  be the Hilbert 2-class field of **k** in the wide sens. Then the Hilbert 2-class field tower of **k** is defined



*Figure 2.* Subfields of  $\mathbf{k}_2^{(1)}/\mathbf{k}$ .

inductively by:  $\mathbf{k}_2^{(0)} = \mathbf{k}$  and  $\mathbf{k}_2^{(n+1)} = (\mathbf{k}_2^{(n)})^{(1)}$ , where *n* is a positive integer. Let  $\mathbb{M}$  be an unramified abelian extension of  $\mathbf{k}$  and  $\mathbb{C}_{\mathbb{M}}$  be the subgroup of  $\mathbb{C}_{\mathbf{k}}$  associated to  $\mathbb{M}$  by the class field theory. Denote by  $j_{\mathbf{k}\to\mathbb{M}}$ :  $\mathbb{C}_{\mathbf{k}} \longrightarrow \mathbb{C}_{\mathbb{M}}$  the homomorphism that associate to the class of an ideal  $\mathcal{A}$  of  $\mathbf{k}$  the class of the ideal generated by  $\mathcal{A}$  in  $\mathbb{M}$ , and by  $\mathcal{N}_{\mathbb{M}/\mathbf{k}}$  the norm of the extension  $\mathbb{M}/\mathbf{k}$ .

According to class field theory G/G' is also of type (2, 4), where  $G = \operatorname{Gal}(\mathbf{k}_{2}^{(2)}/\mathbf{k})$ ; hence  $G = \langle a, b \rangle$  such that  $a^{2} \equiv b^{4} \equiv 1 \mod G'$  and  $C_{\mathbf{k},2} = \langle \mathfrak{c}, \mathfrak{d} \rangle \simeq \langle aG', bG' \rangle$ , where  $(\mathfrak{c}, \mathbf{k}_{2}^{(2)}/\mathbf{k}) = aG'$  and  $(\mathfrak{d}, \mathbf{k}_{2}^{(2)}/\mathbf{k}) = bG'$ , with  $(., \mathbf{k}_{2}^{(2)}/\mathbf{k})$ denotes the Artin symbol in  $\mathbf{k}_{2}^{(2)}/\mathbf{k}$ . Accordingly, there are three normal subgroups of G of index 2; denote theme by:  $H_{1,2}$ ,  $H_{2,2}$  and  $H_{3,2}$  such that  $H_{1,2} = \langle b, G' \rangle$ ,  $H_{2,2} = \langle ab, G' \rangle$  and  $H_{3,2} = \langle a, b^{2}, G' \rangle$ . There are also three normal subgroups of G of index 4; denote theme by:  $H_{1,4}$ ,  $H_{2,4}$  and  $H_{3,4}$  such that  $H_{1,4} = \langle a, G' \rangle$ ,  $H_{2,4} = \langle ab^{2}, G' \rangle$  and  $H_{3,4} = \langle b^{2}, G' \rangle$ .

It is well known that each subgroup  $H_{i,j}$  of G is associated, by class field theory, to a unique unramified extension  $\mathbf{K}_{i,j}$  in  $\mathbf{k}_2^{(2)}$  such that  $H_{i,j}/H'_{i,j} \simeq C_{\mathbf{K}_{i,j},2}$ . The situation is represented by Figure 2.

Our goal is to study the capitulation problem of the 2-ideal classes of  $\mathbf{k}$  in its unramified quadratic extensions  $\mathbf{K}_{1,2}$ ,  $\mathbf{K}_{2,2}$  and  $\mathbf{K}_{3,2}$ , and in its unramified biquadratic extensions, if it is possible,  $\mathbf{K}_{1,4}$ ,  $\mathbf{K}_{2,4}$  and  $\mathbf{K}_{3,4}$ .

In what follows, we use the symbol  $(X_1, X_2, X_3)$ , where  $X_i \in \{4, 2, 2A, 2B\}$ ,

 $i \in \{1, 2, 3\}$ , with the following meanings.  $X_i = 4$  or 2 means four or two ideal classes of **k** capitulate in  $\mathbf{K}_{i,2}$ , and  $X_i = 2A$  (resp. 2B) means two ideal classes of **k** capitulate in  $\mathbf{K}_{i,2}$  and the unramified quadratic extension  $\mathbf{K}_{i,2}$  of **k** satisfies the TAUSSKY's condition (A) (resp. (B)) (see [22]).

We know, from [15], that if  $\mathbf{L}$  is a number field and  $G = \operatorname{Gal}(\mathbf{L}_2^{(2)}/\mathbf{L})$  is a 2group satisfying the condition  $G/G' \simeq (2, 2)$ , then the structure of G is completely determined based on the number of the 2-ideal classes of  $\mathbf{L}$  that capitulate in its three unramified quadratic extensions, and specifying whether the unramified quadratic extensions of  $\mathbf{L}$  are or not of type (A) or (B). More precisely, G is abelian of type (2, 2), quaternion, dihedral or semi-dihedral. Hence G is always a metacyclic 2-group. Note that in the case where G/G' is of type  $(2, 2^m)$ , with m > 2, E. BENJAMIN and C. SNYDER proposed, in [8], a method to know whether G is or not metacyclic, this method is based on studying the same questions for  $G/G^{(2,2)}$  as for G (see [8] p. 12). If we denote by  $\mathbf{L}_1$ ,  $\mathbf{L}_2$  and  $\mathbf{L}_3$  the unramified quadratic extensions of  $\mathbf{L}$ , where  $\mathbf{L}_3$  corresponds to  $\mathbf{K}_{3,2}$  the non-cyclic maximal subgroup over G', then we can summarize these results in the following table:

$\mathbf{L}_1$	$\mathbf{L}_2$	$\mathbf{L}_3$	G
4	4	4	abelian
2B	2B	2A	modular or nonmetacyclic
2A	2A	4	metacyclic nonmodular
2A	2A	2A	metacyclic nonmodular or nonmetacyclic

In the other cases G is nonmetacyclic. Then in the cases (2A, 2A, 2A) and (2B, 2B, 2A), one cannot conclude anything about the structure of G. In [16], there is a characterization of  $G/G^{(2,2)}$ , but only in the case where **L** is an imaginary quadratic number field. In our case, we have  $G/G^{(2,2)} = G/\gamma_3(G)$  (see Corollary 1 and Remark 1). This shows that Koch's characterization coincides with that of N. Blackburn. In Section 2, we gave a new method to determine if G is metacyclic or not, based on the structure of the abelian group  $H_{3,2}/H'_{3,2}$ , which is the structure of the 2-class group of  $\mathbf{K}_{3,2}$ .

By class field theory, the kernel of  $j_{\mathbf{k}\to\mathbb{M}}$ , ker  $j_{\mathbf{k}\to\mathbb{M}}$ , is determined by the kernel of the transfer map  $V_{G\to H}: G/G' \to H/H'$ , where  $G = \operatorname{Gal}(\mathbf{k}_2^{(2)}/\mathbf{k})$  and  $H = \operatorname{Gal}(\mathbb{M}_2^{(2)}/\mathbb{M})$ . To compute the kernel of the transfer map  $V_{G\to H}$ , we use the following formula (see [17]): for  $g \in G$ , put  $f = [\langle g \rangle \cdot H : H]$  and let  $\{x_1, x_2, \ldots, x_t\}$  be a set of representatives of  $G/\langle g \rangle H$ , then

$$V_{G \to H}(gG') = \prod_{i=1}^{t} x_i^{-1} g^f x_i . H'.$$
(5)

**4.1. Modular case.** In this subsection, we study the capitulation problem in the case where G is a modular 2-group. Then  $G = \langle a, b : a^2 = b^8 = 1, b^a = b^5 \rangle$ . As a result of Formula (5), we get the following lemma:

Lemma 11. Keep the previous notations. If G is a modular 2-group, then

1.  $V_{G \to H_{i,2}}(xG') = \begin{cases} 1, & \text{if } x = a, \\ b^4, & \text{if } x = b^2. \end{cases}$  with i = 1, 2.2.  $V_{G \to H_{3,2}}(xG') = \begin{cases} b^{-4}, & \text{if } x = a, \\ b^4, & \text{if } x = b^2. \end{cases}$ 

3. 
$$V_{G \to H_{i,4}}(xG') = \begin{cases} 1, & \text{if } x = a, \\ b^4, & \text{if } x = b. \end{cases}$$
 with  $i = 1, 2 \text{ or } 3.$ 

PROOF. As  $G = \langle a, b : a^2 = b^8 = 1, b^a = b^5 \rangle$ , so  $[b, a] = b^{-1}b^a = b^4$ , thus  $G' = \langle b^4 \rangle$ ,  $H_{1,2} = \langle b \rangle$ ,  $H_{2,2} = \langle ab, b^4 \rangle$ ,  $H_{3,2} = \langle a, b^2 \rangle$ ,  $H_{1,4} = \langle a, b^4 \rangle$ ,  $H_{2,4} = \langle ab^2, b^4 \rangle$  and  $H_{3,4} = \langle b^2 \rangle$ . Moreover,  $ba = ab^5$ ,  $b^8 = 1$  and  $a^2 = 1$ , hence

$$(ab)^4 = abababab = a^2 b^5 b a^2 b^5 b = b^{12} = b^4, \quad (ab^2)^2 = abbab^2 = abab^7 = a^2 b^{12} = b^4.$$

From which we deduce that  $H_{2,2} = \langle ab \rangle$  and  $H_{2,4} = \langle ab^2 \rangle$ .

We will only show the first result (i.e. 1.); for the others, we proceed similarly. If i = 1 or 2, then the Formula (5) yields that

$$\mathbf{V}_{G \to H_{i,2}}(xG') = \begin{cases} a^2 H'_{i,2}, & \text{if } x = a, \\ b^4[b^2, a] H'_{i,2}, & \text{if } x = b^2. \end{cases}$$

since  $a \notin H_{i,2}$  and  $b^2 \in H_{i,2}$ . As  $[b^2, a] = [b, a]^b [b, a] = (b^4)^b b^4 = b^8 = 1$  and  $H_{i,2}$  is a cyclic subgroup of G, which completes the prove of the result 1.

**Theorem 12.** Keep the previous notations. Then G is a modular group if and only if the 2-class group of  $\mathbf{K}_{3,2}$  is of type (2,4) and only two ideal classes capitulate in  $\mathbf{K}_{3,2}$ . In this situation, we have:

- 1. ker  $j_{\mathbf{k}\to\mathbf{K}_{i,2}} = \{1, \mathfrak{c}\}$  with i = 1, 2.
- 2. ker  $j_{\mathbf{k}\to\mathbf{K}_{3,2}} = \{1,\mathfrak{cd}^2\}.$
- 3. ker  $j_{\mathbf{k}\to\mathbf{K}_{i,4}} = \{1, \mathfrak{c}, \mathfrak{d}^2, \mathfrak{cd}^2\}$  with i = 1, 2 or 3.
- 4. The capitulation of the 2-ideal classes of  $\mathbf{k}$  is of type (2B, 2B, 2A).

PROOF. Suppose that the 2-class group of  $\mathbf{K}_{3,2}$  is of type (2,4), then the quotient group  $H_{3,2}/H'_{3,2}$  is of type (2,4). Hence, according to Corollary 3, G is a modular group or

$$G = G_1 = \langle a, b : a^4 = b^4 = 1, a^b = a^{-1} \rangle.$$

If  $G = G_1$ , then  $[a, b] = a^{-1}a^b = a^{-2}$  and  $H_{3,2} = \langle a, b^2 \rangle$ . As G' is a cyclic group generated by  $a^2$ , so  $H'_{3,2}$  is also a cyclic group generated by

$$[b^2, a] = [b, a]^b [b, a] = (a^2)^b a^2 = (a^b)^2 a^2 = a^{-2} a^2 = 1,$$

this yields that  $H'_{3,2} = 1$ ; from which we deduce that

$$\mathbf{V}_{G \to H_{3,2}}(xG') = \begin{cases} a^2[a,b] = 1, & \text{if } x = a, \\ b^4[b^2,b] = 1, & \text{if } x = b^2. \end{cases}$$

Therefore ker  $V_{G \to H_{3,2}} = \{aG', b^2G', ab^2G', G'\}$  i.e. there are four classes capitulate in  $\mathbf{K}_{3,2}$ ; which contradicts the fact that only two classes capitulate in  $\mathbf{K}_{3,2}$ . Thus G is a modular group.

Conversely, if G is a modular group, then according to the proof of Theorem 9, we have  $H_{3,2}/H'_{3,2}$  is of type (2, 4), from which we deduce that the 2-class group of  $\mathbf{K}_{3,2}$  is of type (2, 4). Moreover, Lemma 11 yields that ker  $V_{G \to H_{3,2}} = \{ab^2, 1\}$ i.e. two classes capitulate in  $\mathbf{K}_{3,2}$ , precisely, ker  $j_{\mathbf{k} \to \mathbf{K}_{3,2}} = \{1, \mathfrak{c}\mathfrak{d}^2\}$ .

To prove the assertions 1. and 3., it suffices to compute the kernel of  $V_{G \to H_{i,j}}$  using Lemma 11. For the assertion 4., it is a consequence of the Formula (5).  $\Box$ 

**4.2. Nonmodular metacyclic case.** In this subsection, we study the capitulation problem in the case where G is a metacyclic-nonmodular 2-group of order  $2^n$  and  $G/G' \simeq (2,4)$ , with  $n \ge 4$ . We begin by the following lemma that will allows us to compute the derived group of  $H_{i,j}$ ; after this, we calculate the images of aG', bG' and  $b^2G'$  by  $V_{G \to H_{i,j}}$ .

**Lemma 13.** Keep the previous notations. If  $G = G_m$ , then

(i) 
$$[a,b] = \begin{cases} a^{-2}, & \text{if } G = G_1 \text{ or } G_3; \\ a^{-2(1-2^{n-4})}, & \text{if } G = G_2; \\ a^{-2(1-2^{n-5})}, & \text{if } G = G_4. \end{cases}$$
  
(ii)  $[a,b^2] = \begin{cases} 1, & \text{if } G = G_1, G_2 \text{ or } G_3; \\ a^{2^{n-3}(-1+2^{n-5})}, & \text{if } G = G_4. \end{cases}$ 

(iii) 
$$[a^2, b] = \begin{cases} a^{-4}, & \text{if } G = G_1, G_2 \text{ or } G_3; \\ a^{-4+2^{n-3}}, & \text{if } G = G_4. \end{cases}$$

(iv)  $[a^2, b^2] = 1$ .

PROOF. (i) and (ii). See the proof of Lemma 8.

(iii) It suffices to use the equalities  $[a^2, b] = [a, b]^a [a, b]$  and  $[a, b] = a^{-2} z_2$ .

(iv) We have  

$$[a^2, b^2] = [a, b^2]^a [a, b^2] = \begin{cases} a^{2^{n-2}(-1+2^{n-5})}, & \text{if } G = G_4, \\ 1, & \text{if not,} \end{cases}$$
 since  $a^{2^{n-2}} = 1.$ 

The result is then obvious.

**Corollary 5.** Keep the previous notations. If  $G = G_m$ , then

(i)  $G' = \langle a^2 \rangle;$ 

(ii) 
$$H'_{2,2} = H'_{1,2} = \langle a^4 \rangle$$
 and  $H'_{3,2} = \begin{cases} 1, & \text{if } G = G_1, G_2 \text{ or } G_3; \\ \langle a^{2^{n-3}} \rangle, & \text{if } G = G_4. \end{cases}$ 

 ${\rm (iii)} \ \ H_{1,4}'=H_{2,4}'=H_{3,4}'=1.$ 

PROOF. (i) As  $G = \langle a, b \rangle$  is a metacyclic group, then the derived group G' is cyclic generated by [a, b]. If  $G = G_4$  and  $n \ge 6$ , then  $1 - 2^{n-5}$  is odd. Therefore  $G' = \langle [a, b] \rangle = \langle a^{-2} \rangle = \langle a^2 \rangle$ .

(ii) The result in (i) allows us to conclude that  $H_{1,2} = \langle b, a^2 \rangle$ ,  $H_{2,2} = \langle ab, a^2 \rangle$ ,  $H_{3,2} = \langle a, b^2 \rangle$ ,  $H_{1,4} = \langle a \rangle$ ,  $H_{2,4} = \langle ab^2, a^2 \rangle$ , and  $H_{3,4} = \langle a^2, b^2 \rangle$ . On the other hand, by using Properties (1) and (2), we get

$$[ab^2, a^2] = [a, a^2]^{b^2}[b^2, a^2] = [b^2, a^2]$$
 et  $[ab, a^2] = [a, a^2]^b[b, a^2] = [b, a^2].$ 

Our corollary is then an immediate consequence of the Lemma 13.

**Theorem 14.** Keep the previous notations and assume that G is of order > 16, then the following properties are equivalent:

- 1. G is metacyclic,
- 2. The 2-class group of  $\mathbf{K}_{3,2}$  is of type  $(2, 2^m)$ , with  $m \geq 3$ ,
- 3. The 2-class number of  $\mathbf{K}_{3,2}$  is > 8,
- 4. The 2-class group of  $\mathbf{K}_{1,4}$  is cyclic of order > 2.

**PROOF.** The equivalences  $1. \iff 2. \iff 3$ . are direct consequences of Theorem 9 and Corollary 4.

 $1 \Longrightarrow 4$ . From the Corollary 5, we get  $H_{1,4} = \langle a \rangle$  and the order of a is > 2, hence  $H'_{1,4} = 1$ . Given the fact that  $\langle a \rangle = H_{1,4}/H'_{1,4} \simeq C_{2,K_{1,4}}$ , we get the result.

4.  $\Longrightarrow$  1. As  $H_{1,4}/H'_{1,4} \simeq C_{2,K_{1,4}}$  and  $C_{2,K_{1,4}}$  is a cyclic group, so the Burnside Basis Theorem implies that  $H_{1,4}$  is a normal cyclic subgroup of G of index 4. Note that  $G/H_{1,4}$  is a cyclic group, then G is metacyclic.

**Corollary 6.** Keep the previous notations. If  $G = \text{Gal}(\mathbf{k}_2^{(2)}/\mathbf{k})$  is a metacyclicnonabelian group, then the Hilbert 2-class field tower of  $\mathbf{k}$  stops at  $\mathbf{k}_2^{(2)}$ .

PROOF. Put  $\mathcal{H} = \operatorname{Gal}(\mathbf{k}_2^{(3)}/\mathbf{k}_2^{(1)})$ , then, by class field theory, the derived group  $\mathcal{H}'$  of  $\mathcal{H}$  is equal to  $\operatorname{Gal}(\mathbf{k}_2^{(3)}/\mathbf{k}_2^{(2)})$ . Thus the quotient group  $\mathcal{H}/\mathcal{H}'$  is isomorphic to G'. By the Corollary 5, the group G' is cyclic, hence the Burnside Basis Theorem implies that  $\mathcal{H}$  is a cyclic group; this in turn yields that  $\mathcal{H}' = 1$ . Therefore  $\mathbf{k}_2^{(3)} = \mathbf{k}_2^{(2)}$ .

**Lemma 15.** Keep the previous notations. If G is a metacyclic-nonabelian 2-group, then

$$1. \ V_{G \to H_{i,2}}(xG') = \begin{cases} a^2 H'_{i,2}, & \text{if } x = a, \\ H'_{i,2}, & \text{if } x = b^2. \end{cases} \text{ with } i = 1, 2.$$

$$2. \ V_{G \to H_{3,2}}(xG') = \begin{cases} H'_{3,2}, & \text{if } x = a \text{ and } G = G_1 \text{ or } G_3, \\ a^{2^{n-3}} H'_{3,2}, & \text{if } x = a \text{ and } G = G_2, \\ a^{2^{n-4}} H'_{3,2}, & \text{if } x = a \text{ and } G = G_4, \\ a^{2^{n-3}} H'_{3,2}, & \text{if } x = b^2 \text{ and } G = G_3, \\ H'_{3,2}, & \text{if } x = b^2 \text{ and } G = G_1, G_2 \text{ or } G_4. \end{cases}$$

$$3. \ V_{G \to H_{i,4}}(xG') = \begin{cases} a^{2^{n-3}} H'_{i,4}, & \text{if } x = a \text{ and } G = G_4, \\ H'_{i,4}, & \text{if } x = a \text{ and } G = G_4, \\ H'_{i,4}, & \text{if } x = a \text{ and } G = G_4, \\ H'_{i,4}, & \text{if } x = b \text{ and } G = G_3 \text{ with } i \neq 3, \\ a^{2^{n-3}} H'_{i,4}, & \text{if } x = b \text{ and } G = G_3 \text{ with } i \neq 3, \\ H'_{3,4}, & \text{if } x = b \text{ and } G = G_1, G_2, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_3, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_3, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_3, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_3, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_3, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_3, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_3, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_3, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_3, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_3, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_3, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_3, \\ a^{2^{n-3}} H'_{3,4}, & \text{if } x = b \text{ and } G = G_4; \\ \end{array}$$

PROOF. Show only the first result, the others are proved similarly.

We know that  $H_{1,2} = \langle b, a^2 \rangle$  and  $H_{2,2} = \langle ab, a^2 \rangle$ , hence  $a \notin H_{i,2}$ , where i = 1, 2. Which implies that  $V_{G \to H_{i,2}}(aG') = a^2 H'_{i,2}$ . As  $b^2 \in H_{i,2}$ , for  $i \in \{1, 2\}$ , and  $G/H_{i,2} = \langle aH'_{i,2} \rangle$ , so

$$V_{G \to H_{i,2}}(b^2 G') = b^4[b^2, a] H'_{i,2} = \begin{cases} H'_{i,2}, & \text{if } G = G_1, G_2; \\ a^{-2^{n-3}} H'_{i,2} & \text{if } G = G_3; \\ a^{-2^{n-3}(-1+2^{n-5})} H'_{i,2}, & \text{if } G = G_4. \end{cases}$$

If  $G = G_3$  or  $G_4$ , then  $n \ge 5$  and  $H'_{i,2} = \langle a^4 \rangle$ . From what we conclude that  $a^{-2^{n-3}}$  and  $a^{-2^{n-3}(-1+2^{n-5})}$  are in  $H'_{i,2}$ . Finally, we get

$$\mathbf{V}_{G \to H_{i,2}}(b^2 G') = H'_{i,2}.$$

**Theorem 16.** Keep the previous notations. If G is metacyclic, nonmodular and nonabelian, then

$$1. \ \ker j_{\mathbf{k}\to\mathbf{K}_{i,2}} = \{1, \mathfrak{d}^2\}, \ for \ i = 1 \ or \ 2.$$

$$2. \ \ker j_{\mathbf{k}\to\mathbf{K}_{3,2}} = \begin{cases} \{1, \mathfrak{c}^2\}, \ if \ G = G_1, \\ \{1, \mathfrak{d}^2\}, \ if \ G = G_2 \ or \ G_4, \\ \{1, \mathfrak{c}\}, \ if \ G = G_3. \end{cases}$$

$$3. \ \ker j_{\mathbf{k}\to\mathbf{K}_{i,4}} = \begin{cases} C_{\mathbf{k},2}, \ if \ G = G_3, \\ \{1, \mathfrak{c}, \mathfrak{d}^2, \mathfrak{c}\mathfrak{d}^2\}, \ if \ G = G_3, \\ \{1, \mathfrak{d}, \mathfrak{d}^2, \mathfrak{d}^3\}, \ if \ G = G_4, \end{cases}$$

$$4. \ \ker j_{\mathbf{k}\to\mathbf{K}_{3,4}} = \begin{cases} C_{\mathbf{k},2}, \ if \ G = G_1 \ or \ G_2, \\ \{1, \mathfrak{d}, \mathfrak{d}^2, \mathfrak{d}^3\}, \ if \ G = G_4, \end{cases}$$

$$4. \ \ker j_{\mathbf{k}\to\mathbf{K}_{3,4}} = \begin{cases} C_{\mathbf{k},2}, \ if \ G = G_1 \ or \ G_2, \\ \{1, \mathfrak{c}, \mathfrak{d}^2, \mathfrak{c}\mathfrak{d}^2\}, \ if \ G = G_3 \ or \ G = G_4. \end{cases}$$

5. The capitulation of the 2-ideal classes of  $\mathbf{k}$  is of type (2A, 2A, 2A) or (2A, 2A, 4).

**PROOF.** We prove assertion 2; for assertions 1, 3, and 4 we proceed similarly. According to the Lemma 15 we have

$$V_{G \to H_{3,2}}(xG') = \begin{cases} H'_{3,2}, & \text{if } x = a \text{ and } G = G_1 \text{ or } G_3, \\ a^{2^{n-3}}H'_{3,2}, & \text{if } x = a \text{ and } G = G_2, \\ a^{2^{n-4}}H'_{3,2}, & \text{if } x = a \text{ and } G = G_4, \\ a^{2^{n-3}}H'_{3,2}, & \text{if } x = b^2 \text{ and } G = G_3, \\ H'_{3,2}, & \text{if } x = b^2 \text{ and } G = G_1, G_2 \text{ or } G_4 \end{cases}$$

on the other hand, the Corollary 5 yields that  $H'_{3,2} = 1$  for  $G_1, G_2$ , and  $G_3$ , hence

$$V_{G \to H_{3,2}}(xG') = \begin{cases} 1, & \text{if } x = a \text{ and } G = G_1 \text{ or } G_3, \\ a^{2^{n-3}}, & \text{if } x = a \text{ and } G = G_2, \\ a^{2^{n-4}}, & \text{if } x = a \text{ and } G = G_4, \\ a^{2^{n-3}}, & \text{if } x = b^2 \text{ and } G = G_3, \\ 1, & \text{if } x = b^2 \text{ and } G = G_1, G_2 \text{ or } G_4 \end{cases}$$

The definitions of  $G_2$ ,  $G_3$  and  $G_4$  require that  $n \ge 5$ , thus  $a^{2^{n-3}}$  and  $a^{2^{n-4}}$  are different from 1. This allows us to conclude that

$$\ker \mathcal{V}_{G \to H_{3,2}} = \begin{cases} \{G', aG', b^2G', ab^2G'\}, & \text{if } G = G_1, \\ \{G', b^2G'\}, & \text{if } G = G_2 \text{ or } G_4, \\ \{G', aG'\}, & \text{if } G = G_3. \end{cases}$$

By the Artin's reciprocity law, we get the assertion 2.

5. The results 1. and 2. of Lemma 15 imply that: if i = 1 or 2, then ker  $V_{G \to H_{i,2}} \cap H_{i,2}/G' = \{G', b^2G'\} \cap \langle bG' \rangle = \{G', b^2G'\} \text{ or } \{G', b^2G'\} \cap \langle ab, G' \rangle$ , and

$$\ker \mathcal{V}_{G \to H_{3,2}} \cap H_{3,2}/G' = \begin{cases} \langle aG', b^2G' \rangle \cap \langle aG', b^2G' \rangle = \langle aG', b^2G' \rangle, & \text{if } G = G_1, \\ \{G', aG'\} \cap \langle aG', b^2G' \rangle = \{G', aG'\}, & \text{if } G = G_3, \\ \{G', b^2G'\} \cap \langle aG', b^2G' \rangle = \{G', b^2G'\}, & \text{if not.} \end{cases}$$

By the Artin's reciprocity law, we get

 $|\ker j_{\mathbf{k}\to K_{i,2}}\cap \mathcal{N}_{K_{i,2}/\mathbf{k}}(C_{K_{i,2}})|>1,$ 

where i = 1, 2 and 3. The results 1. and 2. of this theorem yield that the capitulation of the 2-ideal classes of **k** is of type (2A, 2A, 2A) or (2A, 2A, 4).

**Corollary 7.** Keep the previous notations. If G is a metacyclic-nonmodularnonabelian group and only  $\mathfrak{d}^2$  and its square capitulate in  $\mathbf{K}_{3,2}$ , then the following assertions are equivalent:

- 1.  $G = G_2$ ,
- 2. The Hilbert 2-class field tower of  $\mathbf{K}_{3,2}$  stops at the first stage,
- 3.  $h(\mathbf{K}_{i,4}) = \frac{h(\mathbf{K}_{3,2})}{2}$ , where i = 1, 2 or 3.

PROOF. 2.  $\iff$  3. As G is a metacyclic-nonmodular-nonabelian group and only  $\mathfrak{d}^2$  and its square capitulate in  $\mathbf{K}_{3,2}$ , then the order of G is > 16 and the rank of the 2-class group of  $\mathbf{K}_{3,2}$  is 2 (Theorem 14). Thus according to [10, Proposition 7], we have the Hilbert 2-class field tower of  $\mathbf{K}_{3,2}$  stops at the first stage if and only if  $h(\mathbf{K}_{i,4}) = \frac{h(\mathbf{K}_{3,2})}{2}$ , where i = 1, 2 or 3.

1.  $\iff$  3. Since only the class of  $\mathfrak{d}^2$  and its square capitulate in  $\mathbf{K}_{3,2}$ , so  $G = G_2$  or  $G = G_4$ . If the order of G is  $2^n$ , then we have on the first hand (see p. 101),

$$H_{3,2}/H'_{3,2} \simeq \begin{cases} \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2^{n-2}\mathbb{Z}, & \text{if } G = G_2, \\ \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2^{n-3}\mathbb{Z}, & \text{if } G = G_4. \end{cases}$$

This implies that

$$\frac{h(\mathbf{K}_{3,2})}{2} = \begin{cases} 2^{n-2}, & \text{if } G = G_2, \\ 2^{n-3}, & \text{if } G = G_4. \end{cases}$$

On the other hand and for i = 1, 2, 3, we have

$$h(\mathbf{K}_{i,4}) = [H_{i,4} : H'_{i,4}] = |H_{i,4}| = 2^{n-2},$$

since  $H'_{i,4} = 1$  (see Corollary 5). Finally,  $G = G_2$  if and only if  $h(\mathbf{K}_{i,4}) = \frac{h(\mathbf{K}_{3,2})}{2}$  with i = 1, 2 or 3.

**4.3.** Nonmetacyclic case. In this subsection, we study the capitulation problem in the case where G is a nonmetacyclic 2-group.

**Theorem 17.** Keep the previous notations. Then the following assertions are equivalent:

- 1. G is nonmetacyclic,
- 2. The 2-class group of  $\mathbf{K}_{3,2}$  is of type (2,2,2),
- 3. The rank of the 2-class group of  $\mathbf{K}_{3,2}$  is equal to 3.

PROOF. Direct consequence of Theorem 7 p. 99.

**Lemma 18.** Keep the previous notations. If G is a nonmetacyclic 2-group, then

$$\mathcal{V}_{G \to H_{3,2}}(xG') = \begin{cases} [a,b]\gamma_3(G), & \text{if } x = a, \\ \gamma_3(G), & \text{if } x = b^2. \end{cases}$$

PROOF. According to Corollary 1, result 1, p. 97, we have: if G is non-metacyclic, then

$$a^2 \equiv b^4 \equiv c^2 \equiv [a, c] \equiv [b, c] \equiv 1 \mod \gamma_3(G)$$
, where  $[a, b] = c$ .

By applying Formula (5), we get

$$\mathbf{V}_{G \to H_{3,2}}(xG') = \begin{cases} a^2[a,b]H'_{3,2}, & \text{if } x = a, \\ H'_{3,2}, & \text{if } x = b^2, \end{cases}$$

since  $a \in H_{3,2}$  and  $b^2 \in H_{3,2}$ . As  $H'_{3,2} = \gamma_3(G)$  (Lemma 6, p. 98) and  $a^2 \equiv 1 \mod \gamma_3(G)$ , then

$$\mathbf{V}_{G \to H_{3,2}}(xG') = \begin{cases} [a,b]\gamma_3(G), & \text{if } x = a, \\ \gamma_3(G), & \text{if } x = b^2. \end{cases}$$

**Corollary 8.** Keep the previous notations. If G is a nonmetacyclic 2-group, then

- 1. ker  $j_{\mathbf{k}\to\mathbf{K}_{3,2}} = \{1, \mathfrak{d}^2\},\$
- 2. The capitulation of the 2-ideal classes of  $\mathbf{k}$  in  $\mathbf{K}_{3,2}$  is of type 2A.

PROOF. 1. Let  $G = \langle a, b \rangle$  such that  $a^2 \equiv b^4 \equiv 1 \mod \gamma_2(G)$ . The terms  $c_i$  are defined as follows:  $[a, b] = c = c_2$  and  $c_{j+1} = [b, c_j]$ . We have  $G' = [c_2, c_3, \ldots]$ ,  $\gamma_3(G) = [c_2^2, c_3, \ldots]$  see [9, Lemma 2]. This explains why  $c = [a, b] \notin \gamma_3(G)$ , then

$$\ker \mathcal{V}_{G \to H_{3,2}} = \{ G', b^2 G' \}.$$

By the Artin's reciprocity law, we get

$$\ker j_{\mathbf{k}\to\mathbf{K}_{3,2}} = \{1,\mathfrak{d}^2\}$$

2. It suffices to note that

$$\ker \mathcal{V}_{G \to H_{3,2}} \cap H_{3,2}/G' = \{G', b^2G'\} \cap \langle aG', b^2G', c^2G' \rangle.$$

By the Artin's reciprocity law, we have

$$|\ker j_{\mathbf{k}\to K_{3,2}}\cap \mathcal{N}_{K_{i,2}/\mathbf{k}}(C_{K_{3,2}})|>1.$$

This allows us to state that the capitulation of the 2-ideal classes of  $\mathbf{k}$  in  $\mathbf{K}_{3,2}$  is of type 2A.

**4.4.** Abelian case. In this subsection, we prove two theorems about the capitulation problem in the case where G is an abelian 2-group.

**Theorem 19.** Keep the previous notations. Then the following assertions are equivalent:

- 1. G is abelian,
- 2. The 2-class group of  $\mathbf{K}_{3,2}$  is of type (2,2),
- 3. The 2-class number of  $\mathbf{K}_{3,2}$  is 4,
- 4. The 2-class group of  $\mathbf{K}_{i,2}$  is cyclic of order 4, with i = 1 or 2,
- 5. The 2-number class of  $\mathbf{K}_{i,2}$  is 4, with i = 1 or 2,
- 6. The Hilbert 2-class field tower of  $\mathbf{k}$  stops at  $\mathbf{k}_2^{(1)}$ ,
- 7. The capitulation of the 2-ideal classes of  $\mathbf{k}$  is of type (4, 4, 4).

PROOF. By the Artin's reciprocity law and Theorem 10 p. 102, we get

 $1. \iff 2. \iff 3. \iff 4. \iff 5.$   $1. \iff 6. \text{ Obvious.}$  $6. \iff 7. \text{ See [12, Lemma 1 p. 105].}$ 

Corollary 9. Keep the previous notations. If G is abelian, then

- 1. ker  $j_{\mathbf{k}\to\mathbf{K}_{i,2}} = \{1, \mathfrak{c}, \mathfrak{d}^2, \mathfrak{cd}^2\}$ , with i = 1, 2 or 3;
- 2. ker  $j_{\mathbf{k}\to\mathbf{K}_{i,4}} = C_{\mathbf{k},2}$ , with i = 1, 2 or 3.

PROOF. As the Hilbert 2-class field tower of  $\mathbf{k}$  stops at  $\mathbf{k}_2^{(1)}$ , then it suffices to apply [14, Theorem p. 193 and Hilbert's Theorem 94].

### 5. Examples

In this section, we give two examples that illustrate some of our results: the first one is about a real quadratic number field and the second one is about an imaginary bicyclic biquadratic number field.

**5.1. First example.** Let  $\mathbf{k} = \mathbb{Q}(\sqrt{p_1p_2p_3})$  be a real quadratic field, such that  $p_i$  are different primes congruent to 1 (mod 4) and the 2-class group of  $\mathbf{k}$  is of type (2, 4). Note that, in [13], E. BENJAMIN did not give clarification about the structure of the group  $G = \text{Gal}(\mathbf{k}_2^{(2)}/\mathbf{k})$  if the capitulation is of type (2A, 2A, 2A) or (2B, 2B, 2A). In what follows, we give necessary and sufficient condition to have the group G nonmetacyclic. But let us first establish the following lemma:

**Lemma 20.** Let p, q and r be different primes, such that  $p \equiv q \equiv r \equiv 1 \pmod{4}$  and  $\left(\frac{p}{q}\right) = 1$ , and let l be the rank of the 2-class group of  $\mathbb{Q}(\sqrt{p}, \sqrt{qr})$ . We have the following properties:

(1) If 
$$\left(\frac{p}{r}\right) = 1$$
, then  $l = \begin{cases} 3, & \text{if } \left(\frac{p}{q}\right)_4 = \left(\frac{q}{p}\right)_4 \text{ and } \left(\frac{p}{r}\right)_4 = \left(\frac{r}{p}\right)_4, \\ 2, & \text{if not.} \end{cases}$   
(2) If  $\left(\frac{p}{r}\right) = -1$ , then  $l = \begin{cases} 2, & \text{if } \left(\frac{p}{q}\right)_4 = \left(\frac{q}{p}\right)_4, \\ 1, & \text{if not.} \end{cases}$ 

PROOF. It is an immediate consequence of Theorem 2 of [1].

**Theorem 21.** Let  $p_1$ ,  $p_2$  and  $p_3$  be different primes such that  $p_1 \equiv p_2 \equiv p_3 \equiv 1 \pmod{4}$ . If the 2-class group of  $\mathbf{k} = \mathbb{Q}(\sqrt{p_1p_2p_3})$  is of type (2,4), then the group  $G = \text{Gal}(\mathbf{k}_2^{(2)}/\mathbf{k})$  is nonmetacyclic if and only if the following assertions hold:

(1) 
$$\left(\frac{p_i}{p_j}\right) = \left(\frac{p_i}{p_k}\right) = 1,$$
  
(2)  $\left(\frac{p_i}{p_j}\right)_4 = \left(\frac{p_j}{p_i}\right)_4$  and  $\left(\frac{p_i}{p_k}\right)_4 = \left(\frac{p_k}{p_i}\right)_4.$ 

PROOF. As the 2-class group of  $\mathbf{k} = \mathbb{Q}(\sqrt{p_1p_2p_3})$  is of type (2,4), then **k** admits a real unramified cyclic extension of degree 4. Hence by [18], [5] we conclude that

$$\left(\frac{p_i}{p_j}\right) = \left(\frac{p_i}{p_k}\right) = 1 \quad \text{and} \quad \left(\frac{p_i}{p_j p_k}\right)_4 = \left(\frac{p_j p_k}{p_i}\right)_4.$$
 (6)

In this situation  $\mathbf{K}_{3,2} = \mathbb{Q}(\sqrt{p_i}, \sqrt{p_j p_k})$ . Thus Theorem 17 yields that G is not metacyclic if and only if the rank of the 2-class group of  $\mathbf{K}_{3,2}$  is equal to 3, and this is equivalent, by Lemma 20, to

$$\left(\frac{p_i}{p_j}\right)_4 = \left(\frac{p_j}{p_i}\right)_4 \quad \text{and} \quad \left(\frac{p_i}{p_k}\right)_4 = \left(\frac{p_k}{p_i}\right)_4.$$

Note that, if G is a metacyclic group, then the last equalities are not true. Hence the equalities (6) implies that

$$\left(\frac{p_i}{p_j}\right)_4 = -\left(\frac{p_j}{p_i}\right)_4 \quad \text{and} \quad \left(\frac{p_i}{p_k}\right)_4 = -\left(\frac{p_k}{p_i}\right)_4.$$
 (7)

**Corollary 10.** Let *i*, *j* and *k* be the positive integers in the equalities (6). Let  $\varepsilon$  be the fundamental unit of the field  $\mathbf{k} = \mathbb{Q}(\sqrt{p_1p_2p_3})$ . If the 2-class group of  $\mathbf{k} = \mathbb{Q}(\sqrt{p_1p_2p_3})$  is of type (2, 4) and the group  $G = \text{Gal}(\mathbf{k}_2^{(2)}/\mathbf{k})$  is metacyclic, then

- (a) G is abelian if and only if  $\left(\frac{p_j}{p_k}\right) = -1$  and  $N(\varepsilon) = -1$ .
- (b) G is nonabelian-nonmodular if and only if  $\left(\frac{p_j}{p_k}\right) = 1$ .

PROOF. Under our conditions; on one hand, P. KAPLAN in [24] states that  $\left(\frac{p_j}{p_k}\right) = -1$  or  $\left(\frac{p_j}{p_k}\right) = 1$ . On the other hand, we have

$$\left(\frac{p_i}{p_j}\right) = \left(\frac{p_i}{p_k}\right) = 1, \quad \left(\frac{p_i}{p_j}\right)_4 = -\left(\frac{p_j}{p_i}\right)_4 \quad \text{and} \quad \left(\frac{p_i}{p_k}\right)_4 = -\left(\frac{p_k}{p_i}\right)_4.$$

(a) According to [10, Theorem 1], the Hilbert 2-class field tower of **k** stops at  $\mathbf{k}_2^{(1)}$  if and only if  $\left(\frac{p_j}{p_k}\right) = -1$  and  $N(\varepsilon) = -1$ . Thus G is abelian if and only if  $\left(\frac{p_j}{p_k}\right) = -1$  and  $N(\varepsilon) = -1$ .

(b) If G is nonabelian-nonmodular, then the case  $\left(\frac{p_j}{p_k}\right) = -1$  can not occur. For if  $\left(\frac{p_j}{p_k}\right) = -1$ , then by Lemma 20(2)  $H_{1,2}$ , the 2-class group of  $K_{1,2} = \mathbb{Q}(\sqrt{p_i}, \sqrt{p_j p_k})$ , is cyclic. As G is of type (2, 4), so by [4, Theorem 1.2] G is abelian or modular, which contradicts our hypotheses. Therefore  $\left(\frac{p_j}{p_k}\right) = 1$ .

Conversely, if  $\left(\frac{p_j}{p_k}\right) = 1$ , then the Equality (7) and Lemma 20 show that the ranks of the 2-class groups of  $K_{1,2}$  and  $K_{2,2}$  are equal to 2. Hence G does not admit a maximal cyclic subgroup, thus G is nonabelian-nonmodular.

**5.2. Second example.** Let now  $\mathbf{k} = \mathbb{Q}(\sqrt{2p}, i)$  and assume its 2-class group is of type (2, 4). The following theorem is almost the main results in [2], and we cite it here as an example which illustrates some of our previous results.

**Theorem 22.** Let  $\mathbf{k} = \mathbb{Q}(\sqrt{2p}, i)$ , where p is a prime such that  $p \equiv 1 \mod 8$  and  $\left(\frac{2}{p}\right)_4 = \left(\frac{p}{2}\right)_4 = -1$ . Denote by  $2^n$  the 2-class number of  $\mathbb{Q}(\sqrt{-p})$ . Then  $G = \operatorname{Gal}(\mathbf{k}_2^{(2)}/\mathbf{k})$  is metacyclic-nonmodular, moreover

$$G = \langle a, b : a^{2^n} = 1, b^4 = 1, a^b = a^{-1+2^{n-1}} \rangle.$$

PROOF. Note that the 2-class group of  $\mathbf{k}$  is of type (2, 4), since  $p \equiv 1 \mod 8$ and  $\left(\frac{2}{p}\right)_4 = \left(\frac{p}{2}\right)_4 = -1$  (see [3]). In [2], we have constructed an unramified cyclic extension of  $\mathbf{k}$  of order 4, which contains the field  $\mathbf{k}(\sqrt{2})$ . With the notations above,  $\mathbf{k}(\sqrt{2})$  is the field  $\mathbf{K}_{3,2}$ . According to [2, Theorem 9], the rank of the 2class group of  $\mathbf{K}_{3,2}$  is 2, then Theorem 14 yields that G is metacyclic-nonmodular of order  $2^{n+2}$ , since the 2-class number of  $\mathbf{K}_{3,2}$  is  $2^{n+1}$  with  $n \geq 3$ . Thus the order of G is divisible by 32. Finally, Theorem 12 and Proposition 9 of [2], and the Corollary 7 imply that

$$G = \langle a, b : a^{2^n} = 1, b^4 = 1, a^b = a^{-1+2^{n-1}} \rangle.$$

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